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**Report to Congress Pursuant to Section 241 of the  
Countering America's Adversaries Through Sanctions Act of 2017  
Regarding Senior Foreign Political Figures and Oligarchs in the Russian Federation  
and  
Russian Parastatal Entities**

**January 29, 2018**

Section 241 of the Countering America's Adversaries Through Sanctions Act of 2017 (CAATSA) requires the Secretary of the Treasury, in consultation with the Director of National Intelligence and the Secretary of State, to submit to the appropriate congressional committees 180 days after enactment a detailed report on senior political figures and oligarchs in the Russian Federation (Section 241(a)(1)) and on Russian parastatal entities (Section 241(a)(2)). Pursuant to Section 241(b), the report shall be submitted in an unclassified form but may have a classified annex. This is the unclassified portion of the report.

**Section 241(a)(1) – Senior Foreign Political Figures and Oligarchs in the Russian Federation**

As required by Section 241(a)(1)(A) of CAATSA, the Department of the Treasury is providing in this unclassified report a list of senior foreign political figures and oligarchs in the Russian Federation, as determined by their closeness to the Russian regime and their net worth. For purposes of this unclassified portion of the report, this determination was made based on objective criteria related to individuals' official position in the case of senior political figures, or a net worth of \$1 billion or more for oligarchs.

To determine the list of senior political figures, the Department of the Treasury considered the definition in CAATSA Section 241(c)(2), which incorporates by reference the definition of "senior foreign political figure" in section 1010.605, title 31 of the Code of Federal Regulations. For purposes of this unclassified portion of the report, such names consist of: i) senior members of the Russian Presidential Administration; ii) members of the Russian Cabinet, Cabinet-rank ministers, and heads of other major executive agencies; iii) other senior political leaders, including the leadership of the State Duma and Federation Council, other members of the Russian Security Council, and senior executives at state-owned enterprises. These individuals are listed in Appendix 1 of this report.

To determine the list of oligarchs, the Department of the Treasury enumerated those individuals who, according to reliable public sources, have an estimated net worth of \$1 billion or more. Those individuals who meet this criterion are listed in Appendix 2 of this report.

The Department of the Treasury is also providing in a classified annex to this report additional information required pursuant to Section 241(a)(1). The classified annex may include individuals who are not included in Appendices 1 and 2 to this unclassified report, and such persons may hold a position below those included in the unclassified report or have a net worth below \$1 billion.

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This report has been prepared and provided exclusively in response to Section 241 of CAATSA. It is not a sanctions list, and the inclusion of individuals or entities in this report, its appendices, or its annex does not and in no way should be interpreted to impose sanctions on those individuals or entities. Inclusion in this report also does not constitute the determination by any agency that any of those individuals or entities meet the criteria for designation under any sanctions program. Moreover, the inclusion of individuals or entities in this report, its appendices, or its classified annexes does not, in and of itself, imply, give rise to, or create any other restrictions, prohibitions, or limitations on dealings with such persons by either U.S. or foreign persons. Neither does inclusion on the unclassified list indicate that the U.S. Government has information about the individual's involvement in malign activities. Named individuals and entities who are separately subject to sanctions pursuant to sanctions programs established in U.S. law are denoted with an asterisk (\*).

### **Section 241(a)(2) – Russian Parastatal Entities**

CAATSA Section 241(a)(2)-(5) requires a report on Russian parastatal entities, including an assessment of their role in the economy of the Russian Federation; an overview of key U.S. economic sectors' exposure to Russian persons and entities; an analysis of the potential effects of imposing additional debt and equity restrictions on parastatal entities; and the possible impact of additional sanctions against oligarchs, senior political figures, and parastatals on the U.S. and Russian economies.

Russian parastatals have origins in the Soviet Union's command economy. After the dissolution of the Soviet Union, the Russian government conducted large-scale privatization of these entities; in the early 2000s, it began to renationalize large companies. The Russian government has responded to economic shocks, including the financial crisis in 2008 and the imposition of sanctions in 2014, by increasing its role in the economy and ownership of parastatals. As of 2016, Russian parastatals accounted for one-third of all jobs in Russia and 70 percent of Russia's GDP.

For purposes of this requirement, Russian parastatals are defined as companies in which state ownership is at least 25 percent and that had 2016 revenues of approximately \$2 billion or more. A list of such parastatals and the required analysis specified in Section 241(a)(2)-(5) are included in the classified annex of this report.

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**Appendix A: List of Senior Political Figures**

**Presidential Administration**

1. Anton Vayno	Head, Presidential Administration
2. Aleksey Gromov*	First Deputy Head, Presidential Administration
3. Sergey Kiriyenko	First Deputy Head, Presidential Administration
4. Magomedsalam Magomedov	Deputy Head, Presidential Administration
5. Vladimir Ostrovenko	Deputy Head, Presidential Administration
6. Dmitriy Peskov	Deputy Head, Presidential Administration; Presidential Press Secretary
7. Vladislav Kitayev	Chief of Presidential Protocol
8. Andrey Belousov	Aide to the President
9. Larisa Brycheva	Aide to the President
10. Vladislav Surkov*	Aide to the President
11. Igor Levitin	Aide to the President
12. Vladimir Kozhin*	Aide to the President
13. Yuriy Ushakov	Aide to the President
14. Andrey Fursenko*	Aide to the President
15. Nikolay Tsukanov	Aide to the President
16. Konstantin Chuychenko	Aide to the President
17. Yevgeniy Shkolov	Aide to the President
18. Igor Shchegolev*	Aide to the President
19. Aleksandr Bedritskiy	Adviser to the President , Special Presidential Representative on Climate Issues
20. Sergey Glazyev*	Adviser to the President
21. Sergey Grigorov	Adviser to the President
22. German Klimenko	Adviser to the President
23. Anton Kobayakov	Adviser to the President
24. Aleksandra Levitskaya	Adviser to the President
25. Vladimir Tolstoy	Adviser to the President
26. Mikhail Fedotov	Adviser to the President, Chairman of the Presidential Council for Civil Society and Human Rights
27. Venyamin Yakovlev	Adviser to the President
28. Artur Muravyev	Presidential Envoy to the Federation Council
29. Garry Minkh	Presidential Envoy to the State Duma
30. Mikhail Krotov	Presidential Envoy to the Constitutional Court
31. Anna Kuznetsova	Presidential Commissioner for Children's Rights
32. Boris Titov	Presidential Commissioner for Entrepreneurs' Rights
33. Mikhail Babich	Plenipotentiary Representative to the Volga Federal District

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|-------------------------|--|
| 34. Aleksandr Beglov    | Plenipotentiary Representative to the Northwestern Federal District  |
| 35. Oleg Belaventsev*   | Plenipotentiary Representative to the North Caucasus Federal District  |
| 36. Aleksey Gordeyev    | Plenipotentiary Representative to the Central Federal District   |
| 37. Sergey Menyaylo*    | Plenipotentiary Representative to the Siberian Federal District  |
| 38. Yuriy Trutnev       | Deputy Prime Minister – Plenipotentiary Representative to the Far Eastern Federal District                                   |
| 39. Vladimir Ustinov    | Plenipotentiary Representative to the Southern Federal District  |
| 40. Igor Kholmanskikh   | Plenipotentiary Representative to the Urals Federal District   |
| 41. Aleksandr Manzhosin | Head, Foreign Policy Directorate   |
| 42. Vladimir Chernov    | Head, Directorate for Interregional and Cultural Ties to Foreign Countries   |
| 43. Oleg Govorun        | Head, Directorate for Social and Economic Relations with the Commonwealth of Independent States, Abkhazia, and South Ossetia |

**Cabinet of Ministers**

- |                         |   |
|-------------------------|---|
| 44. Dmitriy Medvedev    | Prime Minister  |
| 45. Igor Shuvalov       | First Deputy Prime Minister                                   |
| 46. Sergey Prikhodko    | Deputy Prime Minister and Head of the Government Apparatus    |
| 47. Aleksandr Khloponin | Deputy Prime Minister   |
| 48. Vitaliy Mutko       | Deputy Prime Minister   |
| 49. Arkadiy Dvorkovich  | Deputy Prime Minister   |
| 50. Olga Golodets       | Deputy Prime Minister   |
| 51. Dmitriy Kozak*      | Deputy Prime Minister   |
| 52. Dmitriy Rogozin*    | Deputy Prime Minister   |
| 53. Mikhail Abyzov      | Minister for Liaison with Open Government                     |
| 54. Aleksandr Tkachev   | Minister of Agriculture                                       |
| 55. Vladimir Puchkov    | Minister of Civil Defense, Emergencies, and Natural Disasters |
| 56. Nikolay Nikiforov   | Minister of Communications and Mass Media                     |
| 57. Mikhail Men         | Minister of Construction, Housing, and Public Utilities       |
| 58. Vladimir Medinskiy  | Minister of Culture   |
| 59. Sergey Shoygu       | Minister of Defense   |
| 60. Maksim Oreshkin     | Minister of Economic Development                              |

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61. Olga Vasilyeva	Minister of Education and Science
62. Aleksandr Novak	Minister of Energy
63. Aleksandr Galushka	Minister of Far East Development
64. Anton Siluanov	Minister of Finance
65. Sergey Lavrov	Minister of Foreign Affairs
66. Veronika Skvortsova	Minister of Health
67. Denis Manturov	Minister of Industry and Trade
68. Vladimir Kolokoltsev	Minister of Internal Affairs
69. Aleksandr Kononov	Minister of Justice
70. Maksim Topilin	Minister of Labor and Social Protection
71. Sergey Donskoy	Minister of Natural Resources and Ecology
72. Lev Kuznetsov	Minister of North Caucasus Affairs
73. Pavel Kolobkov	Minister of Sports
74. Maksim Sokolov	Minister of Transportation

**Other Senior Political Leaders**

75. Valentina Matviyenko*	Chairwoman, Federation Council
76. Sergey Naryshkin*	Director, Foreign Intelligence Service (SVR)
77. Vyacheslav Volodin*	Chairman, State Duma
78. Sergey Ivanov*	Presidential Special Representative for the Environment, Ecology, and Transport
79. Nikolay Patrushev	Secretary, Security Council
80. Vladimir Bulavin	Head, Federal Customs Service
81. Valeriy Gerasimov	First Deputy Minister of Defense and Chief of the General Staff
82. Igor Korobov*	Chief, Main Intelligence Directorate General Staff (GRU), Ministry of Defense
83. Rashid Nurgaliyev	Deputy Secretary, Security Council
84. Georgiy Poltavchenko	Governor of Saint Petersburg
85. Sergey Sobyenin	Mayor of Moscow
86. Yuriy Chayka	Prosecutor General
87. Aleksandr Bastrykin*	Head, Investigative Committee
88. Viktor Zolotov	Director, Federal National Guard Service
89. Dmitriy Kochnev	Director, Federal Protection Service
90. Aleksandr Bortnikov	Director, Federal Security Service (FSB)
91. Andrey Artizov	Head, Federal Archive Agency
92. Yuriy Chikhanchin	Head, Financial Monitoring Federal Service
93. Aleksandr Linets	Head, Presidential Main Directorate for Special Programs
94. Aleksandr Kolpakov	Head, Presidential Property Management Directorate

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95. Valeriy Tikhonov	Head, State Courier Service
96. Aleksey Miller	Chief Executive Officer, Gazprom
97. Igor Sechin*	Chief Executive Officer, Rosneft
98. German Gref	Chief Executive Officer, Sberbank
99. Oleg Belozеров	General Director, Russian Railways
100. Andrey Kostin	Chairman-Management Board, VTB
101. Sergey Chemezov*	Chief Executive Officer, Rostec
102. Oleg Budargin	Chief Executive Officer, Rosseti
103. Boris Kovalchuk	Chief Executive Officer, Inter RAO
104. Aleksey Likhachev	General Director, Rosatom
105. Nikolay Tokarev	Chief Executive Officer, Transneft
106. Andrey Akimov	Chief Executive Officer, Gazprombank
107. Nail Maganov	General Director, Tatneft
108. Vitaliy Savelyev	Chief Executive Officer, Aeroflot
109. Andrey Shishkin	Chief Executive Officer, ANK Bashneft
110. Yuriy Slyusar	Chief Executive Officer, United Aircraft Corporation
111. Nikolay Shulginov	Chief Executive Officer, RusHydro
112. Sergey Gorkov	Chief Executive Officer, Vneshekonombank
113. Sergey Ivanov (Jr)	Chief Executive Officer, ALROSA
114. Roman Dashkov	Chief Executive Officer, Sakhalin Energy

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Appendix B: List of Oligarchs

1. Aleksandr Abramov
2. Roman Abramovich
3. Araz Agalarov
4. Farkhad Akhmedov
5. Vagit Alekperov
6. Igor Altushkin
7. Aleksey Ananyev
8. Dmitriy Ananyev
9. Vasilii Anisimov
10. Roman Avdeyev
11. Petr Aven
12. Yelena Baturina
13. Aleksey Bogachev
14. Vladimir Bogdanov
15. Leonid Boguslavskiy
16. Andrey Bokarev
17. Oleg Boyko
18. Nikolay Buynov
19. Oleg Deripaska
20. Aleksandr Dzhaparidze
21. Leonid Fedun
22. Gleb Fetisov
23. Mikhail Fridman
24. Aleksandr Frolov
25. Filaret Galchev
26. Sergey Galitskiy
27. Valentin Gapontsev
28. Sergey Gordeyev
29. Andrey Guryev
30. Yuriy Gushchin
31. Mikhail Gutseriyev
32. Sait-Salam Gutseriyev
33. Zarakh Iliyev
34. Dmitriy Kamenshchik
35. Vyacheslav Kantor
36. Samvel Karapetyan
37. Yevgeniy Kasperskiy
38. Sergey Katsiyev
39. Suleyman Kerimov
40. Igor Kesayev
41. Danil Khachaturov
42. German Khan
43. Viktor Kharitonin
44. Aleksandr Klyachin
45. Petr Kondrashev

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46. Andrey Kosogov
47. Yuriy Kovalchuk\*
48. Andrey Kozitsyn
49. Aleksey Kuzmichev
50. Lev Kvetnoy
51. Vladimir Lisin
52. Anatoliy Lomakin
53. Ziyavudin Magomedov
54. Igor Makarov
55. Iskander Makhmudov
56. Aleksandr Mamut
57. Andrey Melnichenko
58. Leonid Mikhelson
59. Yuriy Milner
60. Boris Mints
61. Andrey Molchanov
62. Aleksey Mordashov
63. Vadim Moshkovich
64. Aleksandr Nesis
65. God Nisanov
66. Aleksandr Ponomarenko
67. Sergey Popov
68. Vladimir Potanin
69. Mikhail Prokhorov
70. Dmitriy Pumpyanskiy
71. Megdet Rakhimkulov
72. Andrey Rappoport
73. Viktor Rashnikov
74. Arkadiy Rotenberg\*
75. Boris Rotenberg\*
76. Dmitriy Rybolovlev
77. Ayrat Shaymiyev
78. Radik Shaymiyev
79. Kirill Shamalov
80. Yuriy Shefler
81. Albert Shigabutdinov
82. Mikhail Shishkhanov
83. Leonid Simanovskiy
84. Andrey Skoch
85. Aleksandr Skorobogatko
86. Rustem Sulteyev
87. Aleksandr Svetakov
88. Gennadiy Timchenko\*
89. Oleg Tinkov
90. Roman Trotsenko
91. Alisher Usmanov

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- 92. Viktor Vekselberg
- 93. Arkadiy Volozh
- 94. Vadim Yakunin
- 95. Vladimir Yevtushenkov
- 96. Gavril Yushvayev

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